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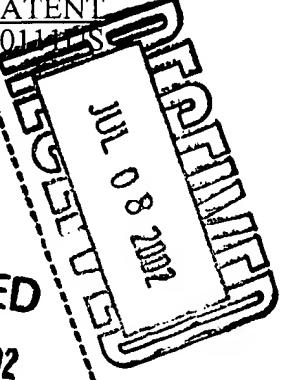
TOWNSEND and TOWNSEND and CREW LLP

By: *M. J. Faggo*

June 27, 2002

PATENT
Attorney Docket No.: 019417-000117-US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William P. Brown et al.

Application No.: 09/657,258

Filed: September 7, 2000

For: METHODS AND SYSTEMS FOR
IMPLEMENTING SHARED DISK
ARRAY MANAGEMENT FUNCTIONS

Examiner: Unassigned

Art Unit: 2184

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
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Sir:

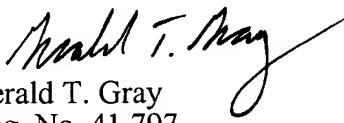
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


Gerald T. Gray
Reg. No. 41,797

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 09/657,258 |
| Filing Date | September 7, 2000 |
| First Named Inventor | William B. Brown |
| Art Unit | 2184 |
| Examiner Name | Unassigned |

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U.S. PATENT DOCUMENTS

| Examiner | Cite No. ¹ | Document Number Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|----------|-----------------------|---|--------------------------------|--|---|
| | | | | | |
| | AA | Pub. No.: US-2001/0049740 A1 | 12-06-2001 | Karpoff | |
| | AB | US-5,657,468 | 08-12-1997 | Stallmo et al. | |
| | AC | US-5,875,456 | 02-23-1999 | Stallmo et al. | |
| | AD | US-6,052,759 | 04-18-2000 | Stallmo et al. | |
| | AE | US-6,148,414 | 11-14-2000 | Brown et al. | |
| | AF | US-5,758,058 | 05/26/1998 | Kouheris et al. | |
| | AH | US-6,112,206 | 08/29/2000 | Morris et al. | |
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| | AL | US-6,216,173 | 04/10/2001 | Jone et al. | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--|----------------|
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | AM | ✓ WO | 02/08899 | A2 | 01-31-2002 | Bopardiker et al. | | |
| | AN | ✓ WO | 02/13033 | A1 | 02-14-2002 | Singhal et al. | | |

Examiner Signature

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

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| | |
|----------------------|-------------------|
| Application Number | 09/657,258 |
| Filing Date | September 7, 2000 |
| First Named Inventor | William P. Brown |
| Art Unit | 2184 |
| Examiner Name | Unassigned |

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| OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS | | |
|---|-----------------------|---|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
| | AO ✓ | "Storage Virtualization", Storage Virtualization Brief, <u>VERITAS Software Corporation</u> , pp. 1-19 (April 2001). |
| | AP ✓ | "VERITAS SANPoint Foundation Suite HA Sharing Data in Storage Area Networks," <u>VERITAS Software Corporation</u> , pp. 1-8 (Jan. 2001). |
| | AQ ✓ | Sosinsky, B., "The Business Value of Virtual Volume Management", <u>VERITAS Software Corporation</u> , pp. 1-12 (Oct. 2001). |
| | AR ✓ | Winett, B.; "Silicon Storage Appliances Implementation Advantage, <u>DataDirect Networks, Inc.</u> , pp. 1-13 (June 2001, Rev. Nov. 30, 2001). |
| | AS ✓ | Woolery, R., "DataDirect Networks' Silicon Storage Appliance Enabling Storage Networks," <u>DataDirect Networks, Inc.</u> , pp. 1-10. |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

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